PTO/SB/21 (10-07)

Approved for use through 10/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

**Application Number** 09/888,326-Conf. #7237 Filing Date June 22, 2001 First Named Inventor George Weiner

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1274

Art Unit	1635
Examiner Name	J. E. Angell
Attorney Docket Number	C1039.70052US00

ENCLOSURES (Check all that apply)						
X Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to TC		
X Fee Attached (check for \$180)  Amendment/Reply  After Final		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
		Petition	· a.	Appeal Communication to TC (Appeal Notice, Brief, Reply (1997)		
		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence		Status Letter		
Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please Identify below):		
Express Abandonment Request		Request for Refund		Form PTO 1449 Copy of Official Communication		
X Information Disclosure Statement  Certified Copy of Priority Document(s)		CD, Number of CD(s)		dated 9/28/07 from EP Application No. 01 948 684.4		
		Landscape Table on	CD	Copies of 10 references cited Return Receipt Postcard		
Reply to Missing Parts/ Incomplete Application		Remarks				
Reply to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNATI	JRE OF APPLICANT, ATTOR	RNEY, OR	AGENT		
Firm Name	Firm Name WOLF, GREENFIELD & SACKS, P.C.					
Signature	Signature					
Printed name	Alan W. Steele, M.D., Ph.D.					
Date November 6, 2007			Reg. No.	45,128		

Г						
ı	Y Certificate of Malling Under 37 CFR 1.8(a)					
Ш	I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on					
И	the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for					
Н	Patents, P.O. Box 150, Alexandria, VA	. 22313-1450.				
	Dated: November 6, 2007	Signature:	(Alan W. Steele, M.D., Ph.D.)			

Docket No.: C1039.70052US00 (PATENT)

I THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George Weiner et al.

Serial No.:

09/888,326

Confirmation No.:

7237

Filed:

June 22, 2001

For:

METHODS FOR ENHANCING ANTIBODY-INDUCED CELL

LYSIS AND TREATING CANCER

Examiner:

J. E. Angell

Art Unit:

1635

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 6, 2007

Alan W. Steele, M.D., Ph.D., Registration No. 45,128

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office action, but before the mailing date of any final action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

11/08/2007 EAYALEW1 00000009 09888326

01 FC:1806

180.00 OP

1

Conf. No.: 7237

### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the following co-pending applications (copies enclosed except as indicated below) that may contain subject matter related to this application:

Serial No.	Filing Date	Inventor(s)	Docket No.
10/811,226	03-26-2004	Wagner et al.	C1041.70005US01
11/598,207	11-10-2006	Krieg et al.	*C1039.70048US24
11/629,106	12-08-2006	Lipford et al.	*C1041.70027US01
11/706,561	02-15-2007	Uhlmann et al.	*C1041.70046US02
11/725,339	03-19-2007	Schetter et al.	C1041.70019US01
11/810,353	06-05-2007	Krieg et al.	*C1039.70048US25
11/901,712	09-17-2007	Schetter et al.	C1039.70069US01

<sup>\*</sup>A copy of this reference is not provided as the Office has waived the requirement under 37 C.F.R. 1.98(a)(2)(iii) for submitting a copy of a cited U.S. patent application if it is scanned to the Image File Wrapper system and is available on Private PAIR.

The Applicant would like to bring to the Examiner's attention the enclosed Official Communication, dated September 28, 2007, from corresponding European Application No. 01948684.4.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

Serial No.: 09/888,326 - 3 - Art Unit: 1635

Conf. No.: 7237

2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. 102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Alan W. Steele, Reg. No. 45,128 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1039.70052US00

Date: November 6, 2007

**xNDDx**